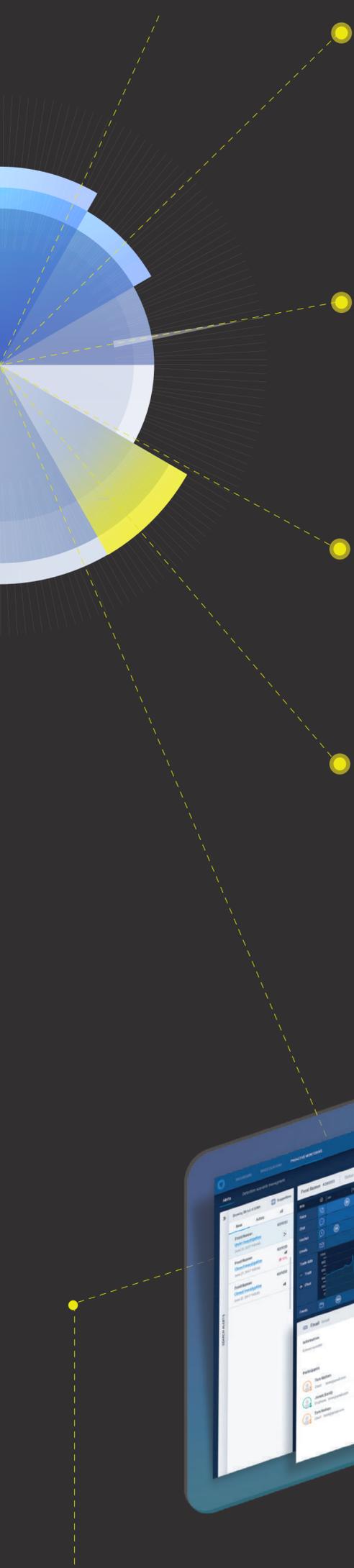


**shield.** Effortless Compliance. Affordable.

**An eComms compliance platform designed specifically for small and medium-sized financial services enterprises.**

With increased regulatory pressure, the cost of compliance is rising, and the need to hire professional workers is looming over small and large firms. We have built a solution that aims to finally reduce the level of risk currently taken by small and medium-sized firms.

We know that the need to view all existing data sources, alongside investigation performance can be critical when the budget is tight and resources are limited.



**Shield for SME**

is a cloud-based cross-regulation platform, with seamless deployment and limited IT struggles that will help you cover elements within MiFID II, GDPR, SMR, MAR, ePrivacy, Dodd-Frank and many other regulations.

**Extensive data source coverage**

Whether it is voice, email, instant messaging platforms or even FinChat, Shield covers and integrates with all your existing data sources, structured or unstructured.

**Data capture and eDiscovery**

Shield captures and enriches all data sources, allowing required retention and legal hold policies, and provides a 360° view of all communication data.

**Cost effectiveness**

Using Shield's multi-source plugin layer and pre-integrated connectors, you can reduce manual tasks, utilize your existing staff members and most importantly, future-proof for regulatory requirements.



**Control and oversight**

With Shield's intuitive timeline view and easy-to-use investigation tools, dashboard and reporting capabilities, you can perform case management at ease while allowing managers to meet pressing Senior Managers Regime requirements.

**Unique investigation capabilities**

Automatically connecting aComms/eComms is no easy task. With Shield's proprietary engines and advanced correlating analytics capabilities, investigations become much more visualized, quicker and easier.

**Shared workspace**

Today's compliance tasks are complicated and with data stored in silos, an investigation is usually conducted by more than one person. Shield's collaborative workspace allows your team to stay up to date with every step of the process and contribute to a quick and effective resolution. Slack for compliance.

**Case management**

In order to perform an effective investigation, Shield allows for highlighting, commenting, flagging and deleting any data source for a specific case, along with export capabilities.

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**shield.** Moving Compliance **Forward.**